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METHODS FOR DETERMINING THE TRUE
SIGNAL OF AN ANALYTE

by

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METHODS FOR DETERMINING THE TRUE
SIGNAL OF AN ANALYTE

This application is based on, and claims the benefit of, U.S. Provisional Application No. 60/248,259, 5 filed November 14, 2000, entitled Testing for Differentially-Expressed Genes by Maximum Likelihood Analysis of Microarray Data and claims benefit of, U.S. Provisional Application No. 60/266,388, filed February 2, 2001, entitled Methods for Determining the True Signal of 10 an Analyte, which are incorporated herein by reference.

This invention was made with government support under grant number T32 HG 000-35 awarded by the National Institutes of Health and grant number DE-FG03-98ER62652/A000 awarded by the United States 15 Department of Energy. The United States Government has certain rights in this invention.

BACKGROUND OF THE INVENTION

The invention relates generally to quantitative expression analysis, and more particularly, to methods 20 for identifying significant differences in gene expression.

Although all cells in the human body contain the same genetic material, the same genes are not active in all of those cells. Alterations in gene expression 25 patterns or in a DNA sequence can have profound effects on biological functions. These variations in gene expression are at the core of altered physiologic and pathologic processes. In the past, determinations of

differential gene expression only focused on a few genes at a time. DNA microarrays, devices that consist of thousands of immobilized DNA sequences present on a miniaturized surface, have revolutionized the study of gene expression and are now a staple of biological inquiry into gene expression and genetic variations. Arrays are used to analyze a sample for genotyping or for patterns of gene expression. Using the microarray, it is possible to observe the expression level changes in tens of thousands of genes over multiple conditions, all in a single experiment. Depending on the conditions assayed, differentially-expressed genes may be implicated in cancer, aging, or a metabolic pathway of interest.

Generally, microarrays are prepared by binding DNA sequences to a surface such as a nylon membrane or glass slide at precisely defined locations on a grid. Using an alternate method, some arrays are produced using laser lithographic processes and are referred to as biochips or gene chips. For genotyping analysis, the sample is genomic DNA. For expression analysis, the sample is cDNA, DNA copies of mRNA. The DNA samples are tagged with a radioactive or fluorescent label and applied to the array. Single stranded DNA will bind to a complementary strand of DNA. At positions on the array where the immobilized DNA recognizes a complementary DNA in the sample, binding or hybridization occurs. The labeled sample DNA marks the exact positions on the array where binding occurs, allowing automatic detection. The output consists of a list of hybridization events, indicating the presence or the relative abundance of specific DNA sequences that are present in the sample.

DNA array technology provides a method for rapid genotyping, facilitating the diagnosis of diseases for which a gene mutation has been identified as well as for diseases for which known gene expression biomarkers of a pathologic state, or signature genes, exist.

A crucial step in the analysis of expression data is determining which genes are expressed differently between two cell populations. Usually, a gene is said to be "differentially-expressed" if its ratio of expression level in one population to expression level in a second population exceeds a certain threshold. This threshold is set based on the observation that in control experiments where the two cell populations are identical, few if any genes have expression ratios exceeding the threshold. However, it is common knowledge that this approach is imprecise, because the uncertainty in the expression ratio is greater for genes that are expressed at low levels than for those that are highly expressed. More sensitive methods have been employed in a few cases, but development of a general, formal statistical test for identifying differentially-expressed genes has remained an open problem.

Thus, there exists a need for a mathematical model of the variability observed over repeated observations of intensities for biomolecules represented on an array. The present invention satisfies this need and provides related advantages as well.

SUMMARY OF THE INVENTION

The invention relates to a method of determining a true signal of an analyte, comprising (a) measuring an observed signal x for one or more analytes, and (b) determining a mean signal (μ) and a system parameter (β) for said analyte that produce enhanced values for a probability likelihood of said observed signal, said observed signal being related to said mean signal by an additive error (δ) and a multiplicative error (ϵ), wherein said system parameter specifies properties of said additive error (δ) and said multiplicative error (ϵ).

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the (A) increase of standard deviation; (B) increase of correlation with absolute level of intensity x' or y' ; and (C) normal probability plot for the 80 samples of x' pertaining to a single, representative gene.

Figure 2 shows scatter plots of estimated μ_y versus μ_x for each gene represented on the whole-yeast genome microarray, for (A) the control experiment YPRG versus YPRG and (B) the YPR versus YPRG comparison, while (C) shows the distribution of four (x,y) pairs for two genes in the YPR versus YPRG comparison.

Figure 3 shows array images corresponding to hybridizations performed for each of eight controlled *GAL80* ratios where four (x,y) intensity measurements per gene were obtained at each controlled *GAL80* ratio by

using (A) two spots from a forward Cy3: Cy5 labeling scheme and (B) two spots from a reverse Cy5: Cy3 labeling scheme and (C) comparison of each controlled ratio to measured ratio (y/x) for the forward-array (red dots) or reverse-array (green dots).

DETAILED DESCRIPTION OF THE INVENTION

The invention provides a method of determining relative amounts of an analyte between samples. The invention also provides a method of determining the true signal of an analyte. The method of the invention accounts for multiplicative and additive errors influencing the observed signals for an analyte and estimates system parameters based on the observed signals using maximum likelihood estimation. By presenting an error model and associated significance test, the methods of the invention provide a substantial improvement over current thresholding schemes. One advantage of the error model is that the system parameters inherently specify the properties of both the additive and multiplicative error terms. The method of the invention further provides for the performance of a generalized likelihood ratio test for each analyte to determine whether the amounts are relatively different.

In one embodiment, the method of the invention provides a refined test for comparison of differentially expressed genes that does not rely on gene expression ratios, but directly compares a series of repeated measurements of two observed intensities for each gene. In this regard, the method of the invention utilizes an error model and an associated significance test to

determine whether the observed amounts of genes are significantly different between the two or more conditions being compared.

As used herein, the term "analyte" refers to a molecule whose presence is measured. An analyte molecule can be essentially any molecule for which a detectable probe or assay exists or can be produced by one skilled in the art. For example, an analyte can be a macromolecule such as a nucleic acid, polypeptide or carbohydrate, or a small organic compound. Measurement can be quantitative or qualitative. An analyte can be part of a sample that contains other components or can be the sole or major component of the sample. Therefore, an analyte can be a component of a whole cell or tissue, a cell or tissue extract, a fractionated lysate thereof or a substantially purified molecule. Moreover, an analyte can incorporate a second molecule, for example, a detectable moiety such as a dye, radiolabel, heavy atom label, or other mass label, a fluorochrome, a ferromagnetic substance, a luminescent tag or a detectable binding agent such as biotin. The analyte can be attached in solution or solid-phase, including, for example, to a solid surface such as a chip, microarray or bead.

As used herein, the term "sample" refers to the substance containing the analyte. It can be heterogeneous or homogeneous. Examples of heterogeneous samples include tissues, cells, lysates and fractionated portions thereof. Homogeneous samples include, for example, isolated populations of polypeptides, nucleic acids or carbohydrates. A sample can also be a purified

analyte, free from like or non-like molecules. All of such substances are included within the meaning of the term so long as the substance contains the analyte. In addition to containing the analyte, a sample further can
5 contain one or more additional components such as a buffer, detectable moiety, nucleic acids, polypeptides, carbohydrates or any other substance or molecule.

As used herein, the term "signal" is intended to mean a detectable, physical quantity or impulse by
10 which information on the presence of an analyte can be determined. Therefore, a signal is the read-out or measurable component of detection. A signal includes, for example, fluorescence, luminescence, colorimetric, density, image, sound, voltage, current, magnetic field
15 and mass. Therefore, the term "observed signal," as used herein is intended to mean the actual quantity detected of the measured analyte in a particular detection system. An observed signal can include subtraction of non-specific noise. An observed signal can also include,
20 for example, treatment of the measured quantity by routine data analysis and statistical procedures which allow meaningful comparison and analysis of the observed values. Such procedures include, for example, normalization for direct comparison of values having
25 different scales, and filtering for removal of aberrant or artifactual values. A "mean signal" as used herein, refers to the true or inherent quantity of the measured analyte. A mean signal therefore corresponds to the detectable quantity of the analyte independent of
30 variation in the assay or detection system.

As used herein, the term "sample pairs" refers to two samples containing analytes to be compared. The analytes to be compared within the two samples can be different, or they can be substantially the same species of analyte but subjected to distinct conditions or obtained from distinct sources. Therefore, the term "mean signal pairs," as used herein, refers to the two true signals, one per analyte, associated with a sample pair. Similarly, when more than two analytes are being compared, the terms "sample sets" and "mean signal sets" are intended by analogy to reference the multiple samples containing the analytes and the corresponding multiple true signals, respectively.

As used herein, the term "system parameter" refers to the properties of the noise of the system, such as non-analyte, non-specific background signals. Therefore, the system parameter, designated β , is a measure of the error of the system and corresponds to undesirable or interfering signals that distort the true signal.

As used herein, the term "significantly unequal" refers to two analytes that have a meaningful difference in signal. Therefore, significantly unequal signals refers to two or more signals whose difference is caused by something other than chance, including variation or error in the system.

The invention provides a method of determining a true signal of an analyte. The method consists of measuring an observed signal x for one or more analytes and determining a mean signal (μ) and a system parameter

(β) for the analyte that produce enhanced values for the probability likelihood of the observed signal, which is related to the mean signal by an additive error (δ) and a multiplicative error (ϵ), where the system parameter
 5 specifies the properties of the additive error (δ) and of the multiplicative error (ϵ).

The invention further provides a method determining relative amounts of an analyte between samples. The method consists of measuring observed
 10 signals x and y for an analyte within two or more sample pairs, determining a mean signal pair per analyte (μ) and a system parameter (β) for each sample pair, that produce enhanced values for the probability likelihood of the observed signals, which are related to the mean signal by
 15 an additive error (δ) and a multiplicative error (ϵ), where the system parameter specifies the properties of the additive error (δ) and the multiplicative error (ϵ).

The methods of the invention permit determination of the mean signal, which is the true
 20 amount of an analyte, by taking into account both multiplicative and additive error contributions to each observed signal. The methods of the invention further allow accurate determination of relative amounts of an analyte between samples. A maximum-likelihood approach
 25 is used to fit the model to observed signals of the analyte. The method of the invention can be used to monitor error introduced by intrinsic or extrinsic factors, to monitor total amount of error over time as well as to isolate or identify particular samples that
 30 have a higher error than normally observed. Therefore, the methods of the invention can be used to detect error

introduced during any step in the analyte preparation and measurement. Additionally, the methods of the invention can be used, for example, to detect total error of the system or to separate and dissect biological or other
5 intrinsic sample error from assay and procedure error. Thus, the methods of the invention allow quantitative analysis of the mean or true amount of an analyte at any given end point in a procedure as well as allow
10 dissection of the system or procedure to quantitatively determine either or both intrinsic or extrinsic error introduced at any given step of the procedure.

Likelihood methods use statistical data and probability models to provide optimal use of statistical information. Because likelihood methods provide a
15 specific description of the pattern of variation in data, these methods can be used for estimation and hypothesis testing, which is a formal process of using data to make statistically meaningful decisions such as whether relative amounts of analyte are significantly different
20 between samples. Therefore, the methods of the invention determine, by formal estimation procedures, the mean signal of an analyte or a comparison of mean signals to provide the relative levels of the corresponding analyte. The comparison of mean signals can be for the same
25 analyte subjected to two or more different conditions, different analytes under the same conditions or any combination thereof.

For comparison of two signals, the maximum-likelihood approach provided by the invention has
30 several advantages over currently accepted ratio-based significance tests. In the ratio-based method, the

expression ratio for the two signals to be compared is computed and compared to a control or reference ratio. For example, where the relative level of an analyte is to be compared under two different conditions, the ratio

5 $r_i = x_i / y_i$ is computed for analyte i for the two conditions x and y , and compared to a reference ratio of known analyte signals. A ratio that differs from the reference ratio, for example, as $r_i > r_c$ or $r_i < 1/r_c$ identifies the analyte levels under the two conditions as being

10 meaningfully different. This ratio-based method has been widely used in fields that compare, for example, the differences in expression of RNA or protein under two different conditions. The method has been particularly applicable to large scale expression analysis such as

15 those utilizing microarray formats. However, the ratio-based method for statistical analysis of signal data combines observed signals into a single ratio, which necessarily results in the loss of absolute signal information. Moreover, when repeated samples per analyte

20 are available, common practice is to compute the ratio of averaged signals, again discarding useful information.

The methods of the invention are generally applicable to measure any analyte that serves as a sample or is contained in a sample so as to allow for detection

25 of the presence of the analyte. As will be described in further detail below, detection of the analyte signal can be by any means as long the observed signal allows for determination of a mean.

Once a signal indicating the presence of an

30 analyte has been observed, the methods of the invention can be used to determine the true or mean signal of the

analyte. The true signal of an analyte is independent of experimental variation or error introduced prior to or during detection of the observed signal. Removal of such error in a signal allows for more accurate quantitation
5 of an analyte and reproducibility of measurements. Therefore, the true or mean signal of an analyte is a measurement of the true or actual level of that analyte. Moreover, through determination of the true signal, the methods of the invention can measure the reproducibility
10 of steps in a process such as, for example, manipulations prior to the determination of the observed signal.

The methods of the invention are applicable to the measurement of analytes and determination of true signals in both biological and non-biological settings.
15 For example, in a biological setting, experimental error can be classified into at least two categories. Biological error is one such category and consists, for example, of intrinsic error introduced by the biological components. In this regard, regulation at both the gene
20 expression and protein activity levels can be substantially altered due to apparent negligible experimental differences in the treatment of a biological sample. A specific example is where gene expression changes due to the use of different batches of the same
25 media during the course of an experiment. Such biological error produces measurable differences in the level of an analyte such as an expressed gene.

Another category is the extrinsic error introduced through experimental manipulation. For
30 example, differences in sample preparation, analyte or probe labeling efficiency, hybridization or binding

conditions, synthesis of probes, batches of solid-phase substrate and detection efficiency introduce variations in the determination of a measured analyte, even though all components and processes can be controlled so as to
5 result in apparent negligible differences. Nevertheless, measurable differences in observed analyte signal occur due to the introduction of such error.

Similarly, for non-biological settings the methods of the invention are applicable for determination
10 of true signals from measured analytes in essentially any process or steps thereof for which a quantitative determination or comparison of a measurable component is desired.

The above exemplary, and other forms of error
15 all affect the perceived amount of a measured analyte through the introduction of fluctuations in the observed signal. Assessing the true signal of the analyte, independent of such fluctuations, allows direct comparison of analyte levels. Moreover, because the true
20 signal of an analyte measurement can be determined, the methods of the invention provide a means for a direct or standardized comparison of analyte measurements both within an experimental system and between different systems. Given the teachings and guidance provided
25 herein, essentially any analysis format known in the art can be used for such subsequent comparison of analytes once the true or mean signals are obtained. Therefore, the methods of the invention can be used to accurately and reproducibly determine the true signal of
30 essentially any measurable analyte as well as used for the initial step in, for example, a comparative analysis

of the same analyte under different conditions, the same analyte under repetitive conditions or different analytes under the same conditions.

As will be described further below, it is understood that the methods of the invention are equally applicable to both large and small sets of analyte samples and sets of measurements. Determination of the true signal for an individual sample is performed similarly as that for the determination of many, and even hundreds or thousands of samples. Similarly, the comparison of true signals for determination of relative amounts of an analyte between samples also is performed for two samples as it is for comparison of many sample pairs or higher order sets of multiple comparisons. Therefore, given the teachings and guidance provided herein, the number of true signals that can be simultaneously determined, or sets of samples that can be simultaneously compared for relative amounts of true signal is only limited by the available computational power.

The methods of the invention for determining the true signal of an analyte can be applied to a variety of situations. For example, repeated measurements of the observed signal such as intensity x for one or more analytes can be obtained and subsequently used in the method of the invention to characterize the error and determine the significance value for each observed signal. For example, repeated observations of the signal associated with a single analyte such as, for example, the observed intensity of a single gene in a microarray, can be utilized in the methods of the invention to

monitor, for example, the variation introduced by two or more distinct conditions, the total error introduced over a given time or sporadic error introduced by any means including variation caused at any step in the protocol.

5 The method of the invention provides a description of the relationship between an observed signal and a mean signal. The relationship specifies that the observed signal can be described as containing both an additive error term and a multiplicative error
10 term. The error terms are a measure of variation in the observed signal. Parameters of the additive error term and the multiplicative error term set forth the characteristics or features of the error terms. These parameters are derived from statistical relationships
15 well known in the art. Therefore, the error terms, and the parameters defining them, specify the noise of the analyzed system. Knowing the components and relationship of the noise with reference to the mean or true signal allows determination of the true signal given an
20 empirically measured signal.

 The inclusion of both an additive error term and a multiplicative error term in the described relationship permits distinction of the true signal from the noise at a wide range of observed signals. For
25 example, with a high observed signal, or high observed signal relative to the noise, the system noise can be primarily described by the multiplicative error term. Therefore, the true signal can be accurately distinguished from the noise by employing only a
30 multiplicative error term in the method of the invention. In contrast, where the observed signal is low, or low

relative to the noise, the influence of the additive error in describing the noise becomes substantially more prominent. Maintaining this error term in the described relationship at low observed signals enhances the

5 accuracy in distinguishing the true signal from the noise. Similarly, at intermediate observed signal ranges, both the additive and multiplicative error terms substantially influence the description of the noise and inclusion of both will yield enhanced results in

10 distinguishing the true signal from the noise using the described relationship in the method of the invention. Therefore, including both the additive and multiplicative error terms in the description of the relationship between the observed signal and the true signal results

15 in more accurate and predictable performance of the method of the invention at all ranges of observed signal.

However, utilization of both the additive and multiplicative error terms in the methods of the invention is not always necessary. As described above,

20 if the user knows or can determine that the observed signal is high relative to the limits of detection or relative to the noise, then determination of the true signal can be accurately made by inclusion of only the multiplicative error term. In such circumstances, the

25 additive variation will be small or negligible compared to the observed signal and is included in the described relationship as an example where one or more of the error term parameters, such as the standard deviation of the additive error term, is set to zero. Similarly, where

30 the signal is low but the variation is also known, or can be determined to be small, in like manner the additive error term also can be omitted without substantial affect

on determination of the true signal. Determination of the true signal also can be accurately made by inclusion of only the additive error term. For example, applying only the additive error term in the described relationship can be useful for measuring the error in the variation of the background of a system. Given the teachings and guidance described herein, those skilled in the art will know, or can determine, whether determination of a true signal can be made, or is desirable, utilizing both the additive and multiplicative terms in the described relationship employed in the method of the invention.

For each analyte, the method of the invention provides a relationship between the observed signal and the mean signal which can be described as follows:

$$x_{ij} = \mu_{xi} + \mu_{xi}\epsilon_{xij} + \delta_{xij},$$

where each measurement j equals 1 through M and each analyte i equals 1 through N , and where x_{ij} is the observed signal and μ_{xi} is the mean or true signal. For each analyte and measurement, the multiplicative error term, ϵ_x , and the additive error term, δ_x , can be obtained, for example, from a normal distribution with mean zero and standard deviation σ_{ϵ_x} and σ_{δ_x} , respectively. One advantage of the above described relationship is that the multiplicative and additive errors can be independent of one another. Additionally, the additive and multiplicative error terms can be derived from a variety of univariate distributions, including, for example, a parametric distribution, a

univariate normal distribution, a t-distribution or a gamma distribution.

For determining the true signal of an analyte, where the observed signal x_{ij} is described by a univariate
 5 distribution with the parameters μ_{xi} and σ_{xi} , the error model specifies two analyte-independent parameters, which together consist of the system parameter (β), and a mean signal μ_x for the analyte. The system parameter β describes the noise in the observed signal and consists
 10 of the above described standard deviation of the multiplicative error with respect to the mean (σ_{ex}) and the standard deviation of the additive error with respect to the mean ($\sigma_{\delta x}$). A particular feature of the above relationship, or error model, is that it specifies both
 15 the mean signal and noise such that the estimate of the signal describes the structural features of the noise. Therefore, the system parameter specifies the properties of both the multiplicative and additive error and can be independent of the mean signal. Moreover, the error
 20 terms specified in the model can be independent of one another.

Modifications can be incorporated into the general description of the relationship between the observed signal and the mean signal set forth above and
 25 below which do not alter the relationship of the additive or multiplicative error terms with respect to the true signal or their properties in specifying the structure of the noise. Such modifications are exemplified with reference to the description specifying the relationship
 30 between the observed signal and the true signal set forth above, but are similarly applicable to the description

specifying the relationship between observed and true signals for comparison of two or more signals. The modifications can include, for example, inclusion of functions, augmentations or addition of terms,

5 simplification or removal of terms and transformation of variables. Depending on the origin of the signal data or the desired use, one or more of such modifications can be employed to generate alternative forms of the described relationship appropriate for application to a wide
10 variety of data sets. These modifications as well as others are well known to those skilled in the art and are applicable in the method of the invention.

For example, the description specifying the relationship between the observed and true signal can be
15 modified by inclusion of a function such as $f(\mu_{xi})\epsilon_{xij}$ where f is a function that describes how the mean sensitive component of variability varies as the mean varies. The function can do so simply by multiplying the mean signal by ϵ_{xij} , or it can do so by multiplying ϵ_{xij} by
20 other terms related to the mean, in addition to the mean or together with the mean. Additionally, the system parameters also could be chosen as a function of the mean parameter μ_{xi} . For example, and with respect to the expanded relationship set forth below describing the
25 comparison of two or more true signals, the system parameters ρ_ϵ and ρ_δ can be chosen as a function of the mean parameters μ_{xi} and μ_{yi} . With either of the above exemplarily functions, the system parameters would change according to principles well known to those skilled in the
30 art to reflect the joint properties of the error of the system given the teachings and guidance provided herein. For example, the function "f" can be chosen to be a

polynomial of low order and the system parameter would be enlarged to include the coefficients of these enlarged polynomials.

The description specifying the relationship
 5 between the observed and true signal also can be modified by augmentation. For example, terms can be added which include constants, second order or even higher order terms which do not alter the relationship of the additive or multiplicative error terms with respect to the true
 10 signal or their properties in specifying the structure of the noise. A specific example of the addition of a constant is $x_{ij} = \mu_{xi} + \mu_{xi}\epsilon_{xij} + \delta_{xij} + C$, where C is a global parameter which allows, for example, translation of the relationship along selected axes. Shifting the
 15 distribution by a constant can be useful, for example, in the normalization process to better fit the data as a whole. Additionally, a specific example of the addition of a second order term is $x_{ij} = \mu_{xi} + (\mu_{xi} + \alpha\mu_{xi}^2)\epsilon_{xij} + \delta_{xij}$. A specific example of the addition of a higher order term
 20 is $x_{ij} = \mu_{xi} + (\mu_{xi} + \alpha\mu_{xi}^2 + \beta\mu_{xi}^3)\epsilon_{xij} + \delta_{xij}$. These latter two descriptions allow for curvature in the relationship between the mean signal and the standard deviation at medium-to-large signal intensities.

Simplification or removal of terms has been
 25 described above, such as when there is a negligible amount of error. Removal of the corresponding error term can increase the accuracy of determining the remaining parameters and therefore the accuracy of determining the true signal. A specific example of a simplification
 30 modification where the additive error has been removed is $x_{ij} = \mu_{xi} + \mu_{xi}\epsilon_{xij}$.

Transformation of variables is yet another modification which can be performed that does not alter the relationship of the additive or multiplicative error terms with respect to the true signal or their properties in specifying the structure of the noise. For example, because some signal measurements can be distributed over a large range of values, including many orders of magnitude, it can be useful to transform the raw signal measurements into logarithms. For this transformation, the variables x_{ij} , or for example y_{ij} in the relationship set forth below, can be redefined in terms of other variables such as s and t . Specifically, define $s = \log(x_{ij})$ and take the log of both sides of the equation: $\log(x_{ij}) = \log(x_{ij} = \mu_{xi} + \mu_{xi}\epsilon_{xij} + \delta_{xij})$. In the specific case where the additive error is small, the above equation reduces to: $\log(x_{ij}) = \log(\mu_{xi}) + \log(1+\epsilon_{xij})$. Substituting $s = \log(x_{ij})$, this equation relates the sample value of s to the mean of s plus some additive error $f = \log(1+\epsilon_{xij})$, as in: $s = \mu_s + f$. Other transformations include, for example, exponentiation ($s = \epsilon^n_{xij}$) or polynomial transformations ($s = ax^n_{ij}$).

The methods of the invention employ the above error model to determine, by formal estimation, the mean signal of an analyte from a set of measurements of an observed signal by using a maximum likelihood approach. To estimate the mean signal, the observed signal should be measured at least twice ($j=2$), obtaining two separate values and allowing for a more accurate computation of the system parameter and mean signal. However, a larger number of analyte measurements, where j is greater than 2, results in further refinements of true signal determination. For example, as shown in Example I,

increasing the number of measurements from two to four per analyte results in beneficial enhancements in true signal determination. Therefore, the number of measurements of a particular analyte can be a few or many
5 times, including for example, about 2, 3, 4, 5, 10, 20, 50, 100 or more sample measurements. Although as few as two measurements is sufficient to accurately determine the true signal of an analyte, the actual number of measurements will vary depending on the need and
10 confidence requirement of the user. For example, the confidence in true signal determination can be increased in analyte samples exhibiting inherently greater variation by compensating for the greater experimental error through increasing the number of sample
15 measurements. Sample measurements can be derived, for example, from independent samples, replicates of the same sample that are independently measured, repeated measurements of the same sample or any combination thereof.

20 Once the signal has been measured for one or more analytes, the observed signals can be subjected to a variety of statistical methods well known in the art to prepare the raw data for maximum likelihood analysis. Such methods include, for example, standardization and
25 filtering techniques. Briefly, non-specific background can be subtracted to produce, for example, the observed signal x' . Moreover, depending on the need, the data measurements can be, for example, normalized to have comparable medians and extreme signals within a set of
30 multiple measurements that are artifactually outside the signal range of its partners can be removed. Such modified values for the observed signal are similarly

applicable in the methods of the invention for determining the true signal of an analyte. Therefore, the error model of the invention additionally accounts for the influence of multiplicative and additive errors on the observed signals and provides a relationship between an observed signal x' , and the corresponding mean or true signal.

As will be described further below in context of a comparing relative differences between two or more true signals, once obtained for any particular set of analyte measurements, the observed signal x or x' is analyzed by, for example, maximum likelihood probability for determination of its mean signal. In addition to a maximum likelihood approach, other approaches are known in the art to determine, by formal estimation, the mean signal from a set of observed measurements, including, for example, Quasi-Maximum Likelihood and Generalized Method of Moments.

In addition to determining the true signal of an analyte, the methods of the invention also can be utilized to determine relative amounts of an analyte between samples. Briefly, following the methods described above for determination of a true signal for an individual analyte, for comparison of relative amounts of two or more analytes, observed signals are measured for each analyte and the corresponding true signals determined by probability likelihood analysis. The resultant true signals are then formally assessed by, for example, a difference indicator to determine relative levels. In this embodiment, for example, the methods of the invention identify true signals that are

significantly unequal, thus representing different amounts of analytes between the compared samples.

The methods of the invention allow relative comparison of true signals between two analytes or pairs
 5 as well as between multiple analytes or sets. As described previously, the analytes to be compared can be can be different, or they can be substantially the same species of analyte but subjected to distinct conditions or obtained from distinct sources. Briefly, samples
 10 harboring analytes to be compared are referred to herein as sample pairs or sets. True signals resulting from each observed analyte signal for a particular comparison are similarly referred to as mean signal pairs or mean signal sets. Similarly, the true signals being compared
 15 for substantially the same analyte species derived from different conditions or sources is referred to herein as mean signal pairs per analyte and mean signal sets per analyte.

By reference to comparison of two analytes, for
 20 the determination of relative amounts of an analyte between samples the observed signal and mean signal within a sample pair can be described by the following relationship:

$$\begin{aligned} x_{ij} &= \mu_{xi} + \mu_{xi}\epsilon_{xij} + \delta_{xij}, \text{ and} \\ y_{ij} &= \mu_{yi} + \mu_{yi}\epsilon_{yij} + \delta_{yij} \end{aligned}$$

where each measurement j equals 1 through M and each analyte i equals 1 through N ; where x_{ij} and y_{ij} are the observed signals, and where μ_{xi} and μ_{yi} are the mean signals. For each pair of analytes and measurements, the

multiplicative error terms, ε_{xij} and ε_{yij} , can be obtained,
 for example, from a bivariate normal distribution with
 mean zero and standard deviations σ_{ε_x} and σ_{ε_y} , and
 correlation ρ_ε . Similarly, the additive error terms, δ_{xij}
 5 and δ_{yij} also are drawn from a bivariate normal
 distribution with mean zero and standard deviations σ_{δ_x}
 and σ_{δ_y} , and correlation ρ_δ . Aside from the correlations
 already described, the error terms for a particular
 analyte i can be independent, that is, the multiplicative
 10 error terms (ε_{xi} and ε_{yi}) can be independent of the
 additive error terms (δ_{xi} and δ_{yi}), and the error terms
 for analyte i (ε_{xi} , ε_{yi} , δ_{xi} , δ_{yi}) can be independent of the
 error terms for analyte j (ε_{xj} , ε_{yj} , δ_{xj} , δ_{yj}) when j does
 not equal i ($j \neq i$). Additionally, the additive and
 15 multiplicative error terms can be derived from a variety
 of other bivariate distributions, including for example,
 a parametric distribution, a bivariate normal
 distribution, a t-distribution or a gamma-distribution,
 and, further, the independence assumptions can be dropped
 20 by including additional correlations in the system
 parameter β .

The above described relationship between
 observed and mean signals for two analytes substantially
 parallels that described previously for an individual
 25 analyte. Therefore, this error model similarly provides
 the advantage of allowing multiplicative and additive
 errors to be independent of one another. Similarly, the
 above described error model can be applied by analogy to
 determination true signals for multiple analytes,
 30 including three or more analytes. For example, similar
 mean signal, multiplicative and additive error terms for
 analyte z can be described in a third equation.

Additionally, higher order comparisons and error models can additionally be described using the teachings and guidance provided herein.

For determining the true signal of an analyte pair, where, for example, the observed signals x_{ij} and y_{ij} are described by a bivariate distribution with the parameters μ_{xi} , μ_{yi} , σ_{xi} , σ_{yi} and ρ_{xiyi} the error model specifies six analyte-independent parameters, which together consist of the system parameter $\beta = (\sigma_{\epsilon x}, \sigma_{\epsilon y}, \rho_{\epsilon}, \sigma_{\delta x}, \sigma_{\delta y}, \rho_{\delta})$, and a mean signal pair, (μ_{xi}, μ_{yi}) for the analyte. As with the univariate distribution described previously, the system parameter β for the bivariate distribution similarly describes the noise in the observed signal and consists of the above described standard deviation and correlations. Briefly, the analyte-independent parameters of the system include the standard deviation of the multiplicative error with respect to the mean of signal x ($\sigma_{\epsilon x}$), the standard deviation of the multiplicative error with respect to the mean of signal y ($\sigma_{\epsilon y}$), a correlation of the multiplicative error for the mean of signals x and y (ρ_{ϵ}), the standard deviation of the additive error with respect to the mean of signal x ($\sigma_{\delta x}$), the standard deviation of the additive error with respect to the mean of signal y ($\sigma_{\delta y}$) and a correlation of the additive error for the mean of signals x and y (ρ_{δ}). As described previously, one particular feature of the above relationship, and with the error models of the invention, is that it specifies both the mean signal and noise such that the estimate of the signal describes the structural features of the noise. Therefore, the system parameter specifies the properties of both the multiplicative and

additive error and can be independent of the mean signal. Moreover, the error terms specified in the model can be independent of one another.

To determine, by formal estimation, the mean
 5 signal pairs of a sample pair, the observed signals x and y should be measured at least twice as described previously. Once the signals have been measured for analytes within one or more sample pairs, the raw data can be prepared for maximum likelihood analysis to
 10 produce, for example, two signals x' and y' . For analysis of more than two analytes within a sample pair, standardization and filtering methods can similarly be used to produce, for example, signals z' and the like for sample sets. These methods and others well known in the
 15 art for processing raw data into useful statistical form are particularly appropriate when analyzing multiple observed signals of sample pairs and sets in order to provide meaningful comparisons by, for example, normalization of divergent scales for the initially
 20 measured signals. Such modified values for the observed signals are similarly applicable in the methods of the invention for determining mean signal pairs, mean signal pairs of an analyte and mean signal sets. Therefore, the error model of the invention additionally accounts for
 25 the influence of multiplicative and additive errors on the observed signals and provides a relationship between observed signals x' , y' , z' and higher numbers of like comparisons, and the corresponding true signals.

For any of the error models described above,
 30 once an observed signal, observed signals within a sample pair or sample set are obtained, the mean signal (μ) and

the system parameter (β) can be determined or selected by, for example, a non-linear optimization algorithm. Such statistical optimization procedures are well known in the art and can be applied to, for example, individual
 5 observed analyte signals, observed signals for a single sample pair and to observed signals for two or more, including, for example, hundreds, thousands or ten thousand or more signals for sample pairs or sets. The number of optimizations that can be performed is
 10 coextensive with the number of analyte signals or higher order sets that can be measured and the computing power available in the art.

Similarly, and in addition to non-linear optimization algorithms, any general optimization
 15 procedure for non-linear equations can be used to determine or select the mean signal pair (μ) and a system parameter (β) for each sample pair including, for example, Gradient Descent, Newton-Raphson and Simulated Annealing. For example, The Gradient Descent method is
 20 based upon selecting, at each iterative step, the direction in multidimensional space for which the objective function initially changes at the fastest rate, and subsequently choosing an appropriate distance to move in this direction at that iterative step. The Newton-
 25 Raphson method is based on a linear approximation to the first-order conditions, which may be numerically estimated, that set to zero the partial derivatives of the objective function with respect to the parameters being estimated. The Simulated Annealing method is based
 30 upon making random changes, which become smaller throughout the iterations, in the parameters being estimated and subsequently deciding probalistically

whether or not to keep these changes, thereby seeking an optimum while maintaining the ability to escape from a suboptimal local optimum in order to seek a better solution.

5 Further, the methods of the invention also allow the mean signal and system parameter to be provided based on previously determined or estimated values rather than calculated *de novo*. For example, in routine or familiar procedures, the user can have prior knowledge of
 10 beneficial or optimal estimates that can be used to calculate enhanced values for the probability likelihood or which more efficient convergence to a maximum probability likelihood. Therefore, the mean signal pair, including the mean signal pair per analyte, for example,
 15 (μ) and a system parameter (β) for each sample pair can be determined or provided and then subsequently compared. As will be described further below, comparison of mean signals, mean signal pairs and higher order sets can be performed, for example, by identification of
 20 significantly unequal mean signals using well known methods in the art such as statistical difference indicators.

In one embodiment, the mean signal and system parameters are estimated using maximum likelihood
 25 estimation. The maximum likelihood function provides, for example, a framework for the formal estimation process, while recognizing the structure of the random noise in the system. By modeling patterns of randomness, the maximum likelihood estimation process can better
 30 separate and estimate the signal. The method of the invention provides likelihood functions using estimates

for the true parameters by utilizing standard optimization procedures as described herein. One advantage of the methods of the invention is that, if desired, the error terms can be independent of one another. Moreover, each mean signal within a mean signal pair or set also can be independent with respect to each other. These characteristics allow for the independent optimization of the system parameter and mean signal. Therefore, the efficiency of optimization can be significantly increased for a large number of analytes, for example, through the optimization of the system parameter and mean signals in subsets.

Briefly, observed values are measured and, subsequently, the system parameter (β) can be selected to enhance the probability likelihood given the observed signal. Similarly, for each analyte, mean signal pairs can be selected to enhance the probability likelihood given the system parameter (β). The mean signal pair and system parameter can be determined at the same time, or alternatively, the mean signal can be determined prior to the system parameter and then subsequently used to determine the system parameter. Conversely, the system parameter can be determined prior to the mean signal and then subsequently used to determine the mean signal. As described further in Example I, this procedure can be reiterated one or more times until the mean signal pair per analyte (μ) and a system parameter (β) converge. With each selection of values and reiteration of the optimization procedure, the calculated mean is enhanced in the direction of the true signal for that analyte, pair or set. In addition to maximum likelihood estimation, probability likelihood values for system

parameters and mean signal can be estimated using other modeling techniques known in the art including, for example, Quasi-Maximum Likelihood and Generalized Method of Moments.

5 For comparison of the relative levels of two or more true signals, after the system parameter and mean signal have been determined, the methods of the invention provide for identification of mean signal pairs that are significantly unequal, representing different amounts of
10 analytes between the compared samples. The error models and methods of the invention take into account the observation that x and y variances and x - y correlation increase with increasing values of x and y . Based on these empirical observations, the methods of the
15 invention utilize a likelihood ratio test to identify analytes whose true signals μ_x and μ_y are unequal. For example, in the case of RNA expression analysis, analytes with unequal mean signals have different copy numbers of the measured mRNA analyte in the two cell populations
20 under comparison, or in other words, are differentially-expressed. Such methods for assessing significantly unequal mean signals are well known in the art and are described further below in the Examples. Thus, the methods of the invention provide a difference
25 indicator for comparison of true signals and therefore relative amounts of two or more analytes. Additionally, when used in combination with known analyte standards, the methods of the invention can be employed to quantitate the amount of a test analyte by comparison of
30 its true signal with that of one or more known standards.

The methods of the invention can be utilized for determining the true signal of an analyte or for comparing the relative levels of two or more true analyte signals in a variety of different formats and modified procedures. For example, observed signals for one or more analytes, sample pairs or sample sets can be measured independently, such as in series, or simultaneously, such as in parallel. Moreover, different observed signals can be measured, for example, from independent samples, the same sample or from independent samples that have been pooled to reduce the total number of samples which are to be manipulated. The number of different observed signals which can be measured from a single sample or pooled sample will depend, for example, on the number of unique detection labels which can be employed to uniquely measure each different analyte within the sample. Corresponding mean signals, mean pairs or mean sets can similarly be determined from the observed signals in series or parallel, for example. Additionally, the measurements of observed signals and determination of mean signals can be multiplexed with ongoing measurements and determinations proceeding simultaneously in series or parallel, such as in an automated system, for example.

Various modification can be made to the procedure described above for determining or comparing true signals which enhance the description of the noise and therefore, further increase the accuracy of distinguishing the true signal from the noise. For example, variation of a reference signal can be captured or incorporated into the analysis. In this specific example, two or more observed signals to be compared are

first independently compared to a reference signal to determine, for example, the system parameters or mean signal pairs for each test-reference comparison. A probability likelihood can then be generated from the product of the terms for each initial test-reference comparison, to describe, for example, β_1 and β_2 . These system parameters obtained with respect to the test-reference comparison can then be used, for example, to determine the mean signal pairs or sets for the two or more observed signals to be compared. Briefly, and as described further below, a likelihood is then established as the product of $L_i(\beta_1, \mu^1_{xi}, \mu^1_{yi})$ and $L_i(\beta_2, \mu^2_{xi}, \mu^2_{yi})$. A statistical difference indicator can then be applied, for example, constraining μ^1_{xi} and μ^2_{xi} , as well as μ^1_{yi} and μ^2_{yi} to be equal or not equal to each other as described previously. For the specific example where y represents the reference sample, then μ^1_{yi} and μ^2_{yi} would be constrained to be equal. Variation can be captured from one or more reference signals alone or in combination. Additionally, using the teachings and guidance provided herein, other methods well known to those skilled in the art which enhance the description of the signal or noise can additionally be incorporated into, or used in conjunction with the methods of the invention.

Therefore, the invention provides a method of determining relative amounts of an analyte between samples. The method consists of: (a) obtaining a reference signal; (b) obtaining observed signals x and y for an analyte within two or more sample pairs; (c) determining system parameters (β_1, β_2) for a sample pair comprising said observed signals x or y and said

reference signal that provide a probability likelihood of said occurrence given said observed and reference signals, said observed and reference signals being related to said mean signal by an additive error (δ) and
 5 a multiplicative error (ϵ), where said system parameter specifies the properties of said additive error and said multiplicative error; (d) determining mean signal pairs (μ_1 , μ_2) for said sample pair comprising maximizing a product of terms for said probability likelihood of said
 10 sample pair of observed signals x or y and said reference signal for said analyte, and (e) selecting a mean signal μ_x or μ_y that provides a maximum probability likelihood of occurrence given said observed signals and system parameters β_1 and β_2 .

15 The invention also provides a method of determining relative amounts of large numbers of analytes between samples. The method consists of: (a) obtaining observed signals x and y for a plurality of immobilized analytes within two or more sample pairs; (b) determining
 20 a mean signal pair per analyte (μ) and a system parameter (β) for each sample pair that provides a maximum probability likelihood of occurrence given the observed signals, the observed signals being related to the mean signal by an additive error (δ) and a multiplicative
 25 error (ϵ), where the system parameter specifies the properties of the additive error and the multiplicative error, and (c) identifying one or more mean signal pairs per analyte that is significantly unequal. The method is applicable, for example, to nucleic acid and polypeptide
 30 analytes using immobilized array formats.

The methods of the invention are applicable for determination or comparison of true signals in a wide variety of systems. Various detection methods for numerous analytes are well known to those skilled in the art. All that is needed to practice the methods of the invention are measurable quantities of an analyte in a data form that can be calculated as a mean.

In biological systems, for example, detection of a nucleic acid analyte can be by any of a variety of detection methods well known to those skilled in the art. Such methods include, for example, gels, blots, capillaries and microarray formats. In addition to nucleic acid microarrays or chips, the methods of the invention further can be applied to determine the true signal of polypeptide spotted on a chip. The construction of glass chips or other substrates spotted either with chemicals to bind polypeptides or with known antibodies can be constructed and the bound polypeptide analyte can be detected, for example, by a mass spectrometer. Moreover, detection of a polypeptide analyte also can be by any other of a variety of detection methods well known in the art, including, for example, gels, blots, capillary and FACS formats. In addition, analytes other than nucleic acids and polypeptides can be detected by methods known in the art such as spectroscopy and laser-assisted techniques. The detection method and, consequently, the visualization technique that yields the observed signal will depend on a variety of factors such as the nature, amount, stability and purity of the analyte.

Microarray hybridization and fluorescent detection is one well known method for analysis of large numbers of nucleic acid analytes. Currently, arrays with more than 250,000 different oligonucleotide probes or
 5 10,000 different cDNAs per square centimeter can be produce in significant numbers. Although it is possible to synthesize or deposit DNA fragments of unknown sequence, generally, microarray-based formats utilize specific sequences attached to a solid substrate such as
 10 glass, plastic, silicon, gold, a gel or membrane, beads, or beads at the ends of fibre-optic bundles. Such formats allow for parallel hybridization and simultaneous detection of a large number of indexed, surface-bound nucleic acid probes.

15 Nucleic acid arrays are generally produced by either robotic deposition of nucleic acids such as PCR products, plasmids or oligonucleotides, onto a glass slide or *in situ* synthesis using, for example, photolithography of oligonucleotides. After
 20 hybridization of labelled samples to the spotted or synthesized probes, the arrays are scanned and a quantitative fluorescence image along with the known identity of the probes is used to detect the presence of a particular molecule above thresholds based on
 25 background and noise levels.

Various methods for preparing labelled material for measurements of gene expression microarrays are well known in the art. For example, the RNA can be labelled directly, using a psoralen-biotin derivative or by
 30 ligation to an RNA molecule carrying biotin, labelled nucleotides can be incorporated in cDNA during or after

reverse transcription of polyadenylated RNA; or cDNA can be generated that carries a T7 promoter at its 5' end.

In the last case, the double-stranded cDNA serves as template for a reverse transcription reaction in which

5 labelled nucleotides are incorporated into cRNA.

Commonly used labels include the fluorophores

fluorescein, Cy3 or Cy5, or nonfluorescent biotin, which is subsequently labelled by staining with a fluorescent streptavidin conjugate. Generally, cDNA from two

10 different conditions is labelled with two different fluorescent dyes such as Cy3 and Cy5, and the two samples are co-hybridized to an array. After washing, the array is scanned at two different wavelengths to detect the relative transcript abundance for each condition.

15 Another quantitation method which is useful for determining expression levels of polypeptide analytes is the isotope-coded affinity tag (ICAT) method (Gygi et al., Nature Biotechnol. 17:994-999 (1999)).

Specifically, ICAT involves labeling two analyte samples

20 differently by using stable isotopes, loading them into a mass spectrometer, and measuring the ratio of the two labels and thus the relative mass. ICAT can make any separation method, including HPLC and capillary electrophoresis, quantitative and, rather than using a
25 ratio-based comparison, the methods of the invention can be applied to any of these separation methods to determine the true signal of a polypeptide analyte or the relative amounts of an analyte between samples.

30 Additionally, measurement of an analyte signal can be by a variety of other methods well known in the art, including, for example, light emission,

radioisotopes, and color development. Briefly, detection can involve methods such as radioactive labeling of the analyte using metabolic labeling in an appropriate cell or *in vitro* labeling by RNA transcription or by coupled
5 *in vitro* transcription-translation with appropriate radioactive amino acids. Additionally, covalent modification with a radioactive or fluorescent substrate using an appropriate enzyme or chemical modification can be employed. Moreover, an analyte can be covalently
10 modified by incorporating a chemical moiety capable of being detected. For example, green fluorescent protein, Cy3, Cy5 and other fluorophores can be covalently attached to a polypeptide analyte. Similarly, biotin can be covalently attached to a polypeptide analyte and
15 subsequently detected by streptavidin using detection methods known in the art. Other methods also can involve fusion of an appropriate detection molecule to the analyte. For example, the analyte can be fused to luciferase and detected by light emission or can be fused
20 to lacZ and detected by appropriate calorimetric detection.

The methods of the invention have utility for a variety of applications. Although a standard microarray compares only two populations, a greater number can be
25 cross-compared by hybridizing labeled probe, such as cDNA prepared from each cell population of interest, to that of a common reference population. The methods of the invention can thus be used to determine genes differentially-expressed between any two populations,
30 even if they have not been directly involved together in a single hybridization experiment.

The error model of the invention does not distinguish between repeated samples drawn from multiple spots on a single array versus repeated samples drawn from multiple hybridizations to different arrays.

- 5 Because multiple spots within an array show less variability and more dye-to-dye correlation than do multiple spots observed over several arrays, the error model of the invention can be applied to distinguish between these two types of sampling, resulting in a more
- 10 sensitive or accurate likelihood ratio test. Systems which involve more than one level of sampling are well known in the art and can be addressed by utilizing a nested design model as described by Dunn and Clark, Applied Statistics: Analysis of Variance and Regression
- 15 (John Wiley & Sons, Inc., New York, 1987), which is incorporated herein by reference.

- The methods of the invention further can be utilized to place a confidence interval on the true signal difference between two analytes. In this
- 20 embodiment, rather than testing the hypothesis that $\mu_x = \mu_y$, the range $l < (\mu_x - \mu_y) < h$ or the range $l < (\mu_x / \mu_y) < h$ is determined for each analyte.

- In another embodiment, the methods of the invention can be utilized to quantify, compare, and
- 25 ultimately reduce the error introduced by each stage of an array process. Therefore, the methods of the invention can be used for quality control in a large variety of processes and settings. For example, as shown in Example II, system parameters and mean signals can be
- 30 compared for replicate spots on one array versus a single spot observed over multiple array hybridizations (see

also Table 2). It is understood that this embodiment of the method of the invention can be expanded to quantify several different levels of variation, such as variation due to cell culture, RNA preparation, labeling, or
5 hybridization. Moreover, it can be expanded to other biological assay systems as well as non-biological systems. Thus, the method of the invention can be utilized to identify sources of variation that contribute to the overall error of the system.

10 The methods of the invention can be extended to a wide range of biological data involving comparisons between multiple measurements and can be advantageously utilized to determine differential gene expression based on studies with fluorescent or radioactive-labeled cDNA
15 hybridized to gene clones spotted on membranes. Furthermore, the methods of the invention are applicable to large scale genotyping of human polymorphisms, where normal DNA is cut into small fragments, labeled, transferred onto a microchip and subsequently hybridized
20 with labeled samples of normal and polymorphic DNA. Because the observed quantities of polypeptide expression per gene are analogous to fluorescent signals observed in a microarray experiment and are correlated, the methods of the invention can be practiced with technologies for
25 comparing levels of polypeptide expression between two cell populations, for example (Gygi et al., Mol. Cell Biol., 19:1720-1730 (1999), *supra*. Thus, the method of the invention can be advantageously utilized for
describing measurements obtained in various technologies
30 including those pertaining to, for example, genomics and proteomics.

For example, the method of the invention can be applied to proteomics where increased sensitivity of sequencing methods and mass spectrometry allow for determination polypeptide expression profiles. The
5 methods of the invention can be advantageously used to determine relative amounts of polypeptide based on, for example, virtual 2-D profiles obtained by linking of isoelectric focusing gels with mass spectrometry.

It is understood that the observed signal
10 depends on the method of detection. For example, in the case of a microarray, the amount of hybridization can be quantified by, for example, optical imaging or laser scanning to observe the emitted light intensity. The observed signal also can be obtained by other
15 visualization techniques based on the nature of the analyte as well as the assay and include, for example, chemiluminescence and fluorescence imaging systems, and mass spectrometry. These and other methods are well known in the art and can be employed for the detection of
20 an observed signal in the methods of the invention.

EXAMPLE I

Development of an Error Model of the Variability Observed over Repeated Observations of Intensities for Genes Represented on a DNA Microarray

25

This example describes development of a maximum-likelihood test for the variability observed over repeated observations of intensities for genes represented on a DNA microarray.

Preprocessing of Microarray Data

The amount of hybridization to each spot is quantified by scanning the array with a laser and observing the intensity of light emitted. Observations
 5 are made separately for the two dyes, such that two intensities x and y are observed for each spot on the microarray. This process does not behave deterministically in practice, such that multiple spots corresponding to each gene i hybridized under identical
 10 conditions will result in a distribution of intensities x_{ij} and y_{ij} ($1 \leq i \leq N$; $1 \leq j \leq M$), where N is the number of genes represented on the microarray and M is the number of spots observed for each gene.

Spot intensities were extracted from a scanned
 15 image, then background-subtracted and normalized as follows: microarray images are processed with Dapple, a software tool developed for array spot finding and quantitation described by Buhler et al., Bioinformatics 2000, which can be found at the URL:
 20 cs.washington.edu/homes/jbuhler/research/array, which is incorporated herein by reference. The Dapple software locates each spot and reports a separate median foreground intensity for each dye inside the spot area. The Dapple software also provides a local background
 25 intensity estimate for each spot and dye. The Dapple intensity estimates were subsequently smoothed by spatial filtering using a 7 spot by 7 spot median filter as described by Lim J.S. Two-Dimensional Signal and Image Processing (Englewood Cliffs, Prentice Hall, 1990), which
 30 is incorporated herein by reference. Subsequently, the smoothed background was subtracted from the foreground of

each spot so as to produce the background-subtracted intensities x' and y' .

In practice, X' and y' have different scales and thus are not directly comparable. This situation can occur if the total amount of labeled cDNA is greater for one dye than it is for the other, if one dye incorporates more efficiently, or if the scanner has different sensitivities to the two dyes. Therefore, the intensities are normalized to have identical medians A within each array hybridization:

$$x = \frac{Ax'}{\tilde{x}'} \quad y = \frac{Ay'}{\tilde{y}'} \quad A = \frac{1}{2}(\tilde{x}' + \tilde{y}')$$

where \tilde{X}' denotes the median intensity of x' over all spots on a single microarray. If multiple array hybridizations are performed, normalization occurs independently for each and the resulting combined data set consists of data pairs (x_{ij}, y_{ij}) for gene i in repeat j . If three or more samples are available for a gene, these are filtered independently in x and y to remove outliers by Dixon's test with $\alpha=0.1$ as described in Dunn and Clark, Applied Statistics: Analysis of Variance and Regression (2nd ed., Wiley and Sons, New York, New York, 1987), which is incorporated herein by reference. In addition, extremely high intensities outside the dynamic range of the array scanner in either color are removed.

25 Formulation of the Error Model

An error model summarizing the influence of multiplicative and additive errors on x and y has been formulated. In this regard, it has been consistently

observed that larger intensity measurements have a proportionately larger error over repeated samples.

The data shown in Figure 1, which shows the increase of (A) standard deviation and (B) correlation with absolute level of intensity x' or y' , were obtained over 5 separate hybridizations with identically-prepared Cy3- and Cy5-labeled cDNA mixtures to test arrays containing 16 replicate spots per gene over 96 genes, resulting in a total of 80 samples for each of 96 genes. Figure 1 (C) shows the normal probability plot for the 80 samples of x' pertaining to a single, representative gene. This plot is linear, indicating that these data are consistent with a normal distribution. The dotted line connects the 25th and 75th percentiles of the data and represents an approximate linear fit.

As shown in Figure 1(A), larger intensity measurements have a constant coefficient of variation $\sigma_x \propto x'$, as can be caused by variation in spot size or labeling efficiency from gene to gene. However, the variability does not tend to zero as $x \rightarrow 0$, likely due to variation in the measured background intensity. Furthermore, within genes, x and y are correlated and, in addition, larger intensities have a larger correlation, possibly due to errors introduced by spot-to-spot nonuniformity or during the hybridization process which affect intensity measurements for both dyes simultaneously (see Figure 1B). Finally, as shown in Figure 1B, samples of x and y for a given gene are at least approximately normally distributed, as assessed by a normal probability plot described by Dunn and Clark, supra, 1987.

Based on the observations described above, the background-subtracted, median-normalized intensities observed for each gene are related to their true (or mean) intensities by the following model:

$$\begin{aligned} x_{ij} &= \mu_{xi} + \mu_{xi}\varepsilon_{xij} + \delta_{xij}, \text{ and} \\ y_{ij} &= \mu_{yi} + \mu_{yi}\varepsilon_{yij} + \delta_{yij} \end{aligned}$$

where (μ_{xi}, μ_{yi}) is the pair of true mean intensities for gene i . For each i and j , the multiplicative errors ε_{xij} and ε_{yij} , are drawn from a bivariate normal distribution with means 0, standard deviations $\sigma_{\varepsilon x}$ and $\sigma_{\varepsilon y}$, and correlation ρ_{ε} . The additive errors δ_{xij} and δ_{yij} , are distributed analogously, with parameters $\sigma_{\delta x}$, $\sigma_{\delta y}$, and ρ_{δ} . Thus, multiplicative and additive errors are independent of one another but can each be highly correlated between x and y ; in practice ρ_{ε} is large and ρ_{δ} is small. While x_{ij} and y_{ij} can be negative if the foreground is less than the estimated background for a spot, the true intensities μ_{xi} and μ_{yi} must be non-negative. Consequently, the samples $(x_{ij}$ and $y_{ij})$ are described by a bivariate normal probability density function p with parameters μ_{xi} and μ_{yi} σ_{xi} , σ_{yi} and $\rho_{xi, yi}$, where:

$$\sigma_{xi} = \sqrt{\mu_{xi}^2 \sigma_{\varepsilon x}^2 + \sigma_{\delta x}^2}$$

$$\sigma_{yi} = \sqrt{\mu_{yi}^2 \sigma_{\varepsilon y}^2 + \sigma_{\delta y}^2}$$

$$\rho_{xi, yi} = \frac{\mu_{xi}\mu_{yi}\rho_{\varepsilon}\sigma_{\varepsilon x}\sigma_{\varepsilon y} + \rho_{\delta}\sigma_{\delta x}\sigma_{\delta y}}{\sigma_{xi}\sigma_{yi}}$$

The model depends on six gene-independent parameters $\beta = (\sigma_{\epsilon x}, \sigma_{\epsilon y}, \rho_{\epsilon}, \sigma_{\delta x}, \sigma_{\delta y}, \rho_{\delta})$ and a mean pair per gene, $\mu = [(\mu_{x1}, \mu_{y1}), (\mu_{x2}, \mu_{y2}), \dots, (\mu_{xN}, \mu_{yN})]$ for a total of $2N+6$ parameters. The probability density function for gene i is $p = p(x_{ij}, y_{ij} | \beta, \mu_{xi}, \mu_{yi})$.

Parameter Estimation by Maximum Likelihood

Since β and μ are generally unknown, they can be estimated by using a maximum likelihood estimation (MLE) as described by Kendall and Stuart, The Advanced Theory of Statistics, Volume 2 (4th ed., Macmillan Publishing Co., New York, N.Y., 1979), which is incorporated herein by reference. Likelihood functions, for gene i and over all genes, are respectively defined as:

$$L_i(\beta, \mu_{xi}, \mu_{yi}) = \prod_{j=1}^M p(x_{ij}, y_{ij} | \beta, \mu_{xi}, \mu_{yi})$$

$$L(\beta, \mu) = \prod_{i=1}^N L_i(\beta, \mu_{xi}, \mu_{yi})$$

The MLE parameter values maximizing L , designated $\hat{\beta}$ and $\hat{\mu}$, are estimates for the true parameters of the underlying statistical model. In general, these values can be found using standard optimization procedures as described by Press et al., Numerical Recipes in C: The Art of Scientific Computing (2nd ed., Cambridge University Press, Cambridge, MA). Because N can be large $\hat{\beta}$ and $\hat{\mu}$, can be determined by optimizing subsets of parameters in separate stages:

- (1) choose initial values for μ ,
 - (2) select β to maximize L given current values of μ ,
 - (3) for $i=1, \dots, N$: select (μ_{xi}, μ_{yi}) to maximize L_i , given current values of β , and
- 5
- (4) repeat (2) and (3) until β , μ have converged.

All stages of the optimization were performed using the procedure *fmincon* provided by Matlab and described by Coleman et al., Matlab Optimization Toolbox User's Guide

- 10 (3rd ed., Mathworks, Inc., Natick, MA, 1999), which was incorporated herein by reference. The optimization was also implemented in C code, which produces comparable optimal parameters in substantially less execution time (less than 10 minutes on a Pentium III 500 for $N=6000$,
- 15 $M=4$, as compared with 4-5 hours for the Matlab implementation). In both cases, all parameters converged within 250 iterations of stages (2) and (3) and are insensitive to initial choices for β and μ .

Significance Testing using Likelihood Ratios

After the parameters have been determined for a given set of observations, it is of immediate interest to use the model to identify mean intensity pairs which are significantly unequal such that $\mu_{xi} \neq \mu_{yi}$, representing genes that are differentially expressed between the two cell populations. For each gene i , the generalized likelihood ratio test (GLRT) (Kendall and Stuart 1979) statistic λ_i is computed according to:

$$\lambda_i = -2 \ln \left(\frac{\max_{\mu} L_i(\beta, \mu, \mu)}{\max_{\mu_x, \mu_y} L_i(\beta, \mu_x, \mu_y)} \right)$$

Two maximizations are performed: in the numerator, the constraint $\mu_x = \mu_y = \mu$ is imposed, while in the denominator the optimization is unconstrained. Under the null hypothesis that $\mu_x = \mu_y$, β remains a consistent estimator when the constraint is imposed.

In the case that $\mu_{xi} = \mu_{yi}$, λ_i follows (asymptotically in M and N) a χ^2 distribution with 1 degree of freedom (DOF), whereas if $\mu_{xi} \neq \mu_{yi}$, the value of λ_i is expected to be larger than would be obtained from random sampling of this distribution. To select differentially-expressed genes with a selection error of α , the false positive or Type-1 error rate, one would first determine the critical value λ_c , for which the χ^2 cumulative probability distribution is equal to $1-\alpha$, then select the set of all genes i for which λ_i is in the

critical region $\lambda_i > \lambda_c$. The particular choice of α depends on the number of genes on the array and the selection error which the individual investigator is willing to tolerate.

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EXAMPLE II

Identification of Genes Differentially-Expressed in Response to Galactose Stimulation of Yeast Cells

This example describes application of the mathematical model of the variability observed over
10 repeated observations of intensities for genes represented on a DNA microarray to the identification of genes differentially-expressed in response to galactose stimulation.

Assembly of the Microarray

15 In order to explore the performance of the test for differentially-expressed genes as shown in Example I, *Saccharomyces cerevisiae* cultures growing in the absence of galactose (YPR media) were compared to those growing in galactose-stimulating conditions (YPRG) using a DNA
20 microarray of approximately 6200 nuclear yeast genes. The microarray was fabricated so as to consist of a large number of DNA spots on glass, each containing the full open-reading-frame sequence of a gene as reviewed by Lander, Nature Genetics 21: 3-4 (1999), which is
25 incorporated herein by reference.

Initially, mRNA contained in each of two populations of cells was extracted, reverse-transcribed into cDNA, and labeled with either Cy3 or Cy5 dye as

described below. Subsequently, the Cy3 and Cy5 dye preparations were combined and deposited on the microarray, where labeled molecules hybridize to the spot containing their complementary sequence.

5 In order to obtain the mRNA to be reverse-transcribed into cDNA, wild-type yeast (BY4741) or a congenic *gal80Δ* strain were inoculated in 100 ml of either galactose-inducing YPRG media (1% yeast extract, 2% peptone, 2% raffinose, 2% galactose) or non-inducing
10 YPR media (1% yeast extract, 2% peptone, 2% raffinose). Subsequently, cultures were grown at 30°C to a density of 1-2 OD₆₀₀, and total RNA was harvested by hot acidic phenol extraction as described by Ausubel et al., *supra*, (1995). Poly-A purification from total RNA was performed
15 using Ambion Poly(A)Pure mRNA Isolation Kits (Ambion, Austin, TX, catalogue #1915).

To assemble the DNA microarray a set of approximately 6200 known and predicted gene open reading frames from the yeast *Saccharomyces cerevisiae* (Research
20 Genetics, Huntsville, AL) was amplified in separate 100μL PCR reactions in a 384-well plate format. The PCR conditions were optimized depending on the length of the template, but in general were as follows: Initially 95°C for 2 minutes; followed by 35 cycles of 94°C for 30
25 seconds, 64°C for 30 seconds and 72°C for 2.5 minutes; and, finally, followed by 72°C for 5 minutes. The reaction products were subsequently purified over a Sephacryl S-500 spin column (Pharmacia, Uppsala, Sweden). The purified product was then added to DMSO in a 1:1
30 ratio. A Molecular Dynamics Generation III microarray robotic spotter was used to print the PCR products onto

25mm by 75mm glass slides (Amersham, Piscataway, NJ, catalogue # RPK0328), which were subsequently spotted at 50% humidity and immediately UV cross-linked at 50 mJ of energy.

5 Complementary DNA synthesis and hybridization was accomplished as follows: 2µg anchored dT25 primers and 2µg random 9-mer primers were added to 4µg poly-A selected mRNA and allowed to anneal at 70°C for 5 minutes in a 12µL volume. After 1 to 2 minutes on ice, 4µL 5x
10 Superscript II buffer (Gibco), 2µL 0.1M dTT, 1µL dNTP mix (10mM dATP, dTTP, dGTP, and 1mM dCTP), 1mM of either Cy3 or Cy5 fluorescent dye (Amersham, Piscataway, NJ), and 1µL Superscript II reverse transcriptase were added. Reverse transcription occurred at 42°C for 2 to 2.5 hrs in
15 the dark. Subsequently, the RNA was hydrolyzed by heating at 94°C for 3 minutes, followed by addition of 1µL of 5M NaOH, and incubation at 37°C for 10 minutes. The pH was adjusted by the addition of 1µL 5M HCl and 5µL 1M Tris (pH 6.8) followed by cDNA purification through
20 Millipore NAB plates (Millipore, Bedford, MA). Dye incorporation was assessed by measuring absorbance at 550 and 650 nm, and a sample aliquot containing about 40 pmol of dye is concentrated to less than 5 µL. Subsequent to labeling, purification, and concentration, Cy3 and Cy5
25 samples were combined and suspended in 40 to 45 µL of hybridization solution containing 50% formamide, 5x Denhardt's solution, 5x SSC and 0.1% SDS. The hybridization mixture was subsequently applied to the array slide beneath a coverslip and allowed to incubate
30 in a sealed, humid chamber overnight for 16 to 18 hours at 42°C. The slide was then washed in 2x SSC/0.1% SDS for 5 minutes at 42°C, followed by a 5 minute wash in

0.1x SSC/0.1% SDS for 5 minutes at room temperature and, finally, two additional washes in 0.1 x SSC, each for two minutes. The slide was rinsed briefly in distilled water and immediately dried with compressed air. After

5 hybridization and washing, the array slides were scanned using a scanning laser fluorescence microscope (Molecular Dynamics Generation III Scanner, Molecular Dynamics, Sunnyvale, CA).

10 Each gene was represented by two spots located on opposite sides of the array. A total of four (x,y) intensity pairs was obtained for each gene by performing replicate hybridizations to two of the above microarrays (N=6200, M=4), with x and y representing intensities in
 15 YPR and YPRG respectively. In the first hybridization, RNA from the YPR condition was labeled with Cy3 dye, while RNA from the YPRG condition was labeled with Cy5 dye; in the second hybridization the reverse labeling scheme was used. The β and μ values were determined for
 20 these data using our maximum likelihood approach, and the λ_1 statistic was computed for each gene. Values for β were as follows: 0.367, 0.391, 0.862, 89.6, 339.0, 0.319.

In order to determine a reasonable choice for the critical value λ_c used to select
 25 differentially-expressed genes, a series of control experiments was performed in which two cell populations were cultured separately using identical strains and YPRG growth conditions. These two populations were compared as described before by obtaining a total of M=4 repeat
 30 samples per gene and determining values of β , μ and λ . In general, these control data had fewer large values of λ than did the YPR versus YPRG data, and followed a χ^2

distribution is determined by a q-q plot. However, both data sets had significantly larger values of λ than expected for a χ^2 with 1 DOF. This can be due to the small-sample bias of maximum likelihood methods,
 5 resulting in λ_i , resulting in λ_i statistics that are not χ^2 with 1 DOF even if $\mu_{xi}=\mu_{yi}$, for all i .

We chose $\lambda_c=25.7$, the value at which less than 0.1% of genes (approximately 6 out of 6200) would be in the critical region in the control experiment. This
 10 value was then applied to select differentially-expressed genes from the YPR versus YPRG data.

Figures 2A and 2B show scatter plots of estimated μ_y versus μ_x values for each gene for the control experiment and the YPR versus YPRG experiment,
 15 respectively. The most highly significant genes out of a total of 555 selected as significant are shown in Table 1. The values shown in Table 1 are in good agreement with previous experimental evidence with the galactose-induction pathway structural genes *GAL1*, *GAL7*
 20 and *GAL10* appearing as the top three most significant differentially-expressed genes.

Table 1. Genes Differentially Expressed Between Galactose Non-Inducing (YPR) and Inducing (YPRG) Conditions.

	GENE	ROLE	λ	μ_x	μ_y	μ_x/μ_y
5	GAL1	galactose metabolism	95.4	145	110644	766
	GAL10	galactose metabolism	88.1	109	36656	338
	Gal7	galactose metabolism	86.7	59	76849	1300
	YNL194C	unknown	75.0	18533	1360	0.073
	JEN1	transport	72.2	21124	889	0.042
10	YNL195C	unknown	72.0	7639	710	0.093
	ALD6	ethanol utilization	71.5	9774	517	0.053
	RHR2	glycerol metabolism	71.1	1181	22586	19
	YMR318C	unknown	69.1	2457	29930	12
	HSP26	diauxic shift	68.1	71988	11435	0.16

15 In the scatter plots shown in Figure 2, genes with $\lambda_i > 25.7$ have significantly different μ_y and μ_x and are shown in red. To show detail, axes limits are truncated to 45000: the maximum (μ_x, μ_y) observed was $(1.8 \times 10^5, 1.4 \times 10^5)$.

20 Figure 2(C) shows the distribution of four (x, y) pairs for two genes in the YPR versus YPRG comparison. Samples for each gene are denoted by red or black crosses respectively, with corresponding averages $(\langle x \rangle, \langle y \rangle)$ denoted by squares and MLE-estimated means (μ_x, μ_y) denoted by filled circles. Open circles represent the estimated means under the added constraint $\mu_x = \mu_y$. Pink and gray ellipses define regions containing 95% of the error model probability distribution at these constrained means for the red and black-colored genes,

respectively. Dotted lines of constant ratio, drawn through the origin and each constrained and unconstrained (μ_x, μ_y) pair, are shown for reference. In Figure 2C, although the genes have similar average expression ratios $\langle x \rangle / \langle y \rangle$ (2.9 versus 3.5 for the red versus black-colored gene), the red-colored gene was significant by the likelihood test ($\lambda > 37.4$). The black-colored gene was not significant ($\lambda = 13.8$), due to its compatibility with the constrained error model. The difference in λ arises because the samples corresponding to the red-colored gene are higher in intensity than the samples corresponding to the black-colored gene.

As described in Example I, equation 5 computes λ for each gene by optimizing the model parameters $(\mu_x$ and $\mu_y)$ with and without the constraint $\mu_x = \mu_y$, and subsequently compares the likelihood of the (x, y) samples under the constrained and unconstrained models. As represented by the pink ellipse shown in Figure 2C, the four red-colored samples are in the tail of the probability distribution for the error model with the constraint imposed, resulting in a reduced likelihood L and thus a relatively high significance value 1. In contrast, as represented by the grey ellipse shown in Figure 2C, the black-colored samples are relatively well explained by the constrained error model distribution, resulting in a lower value of λ . Notably, if the ratio statistic r were applied with the commonly-used threshold $r_c = 3.0$, the black gene would be accepted as significant while the red gene would not.

Effect of Sample Size on Parameter Estimates

The more genes and samples per gene are available, the more accurate the estimates of β and μ . To determine the efficacy of parameter estimation,

5 representative parameters β_{sim} and μ_{sim} were used to randomly simulate data sets of M samples over N genes according to the error model equations (2) and (3) disclosed in Example I. Values for β and μ were estimated for each data set and the resulting

10 distribution of β over 30 simulations was characterized by parameter means $\langle\beta\rangle$ and standard deviations s_β . In simulations with $M=50$, $N=100$, parameter estimates were tightly distributed around their true values such that $\langle\beta\rangle=\beta_{sim}\pm 2\%$ and $s_\beta\leq(0.3)\langle\beta\rangle$ for all parameters β . In

15 contrast, for very small data sets with $M=4$, $N=100$ these estimates were highly variable over the 30 simulations ($s_\beta\leq(0.74)\langle\beta\rangle$) and biased: β_{sim} was under- or overestimated by 5 percent to 50 percent across the six parameters of $\langle\beta\rangle$. In order to more closely model

20 experiments performed with a yeast microarray, simulations with $M=4$, $N=6000$ were also examined. Estimates were generally biased but this bias was smaller ($\langle\beta\rangle=\beta_{sim}\pm 25\%$) and the variability of estimation also was less ($s_\beta\leq(0.05)\langle\beta\rangle$). Thus, with regard to parameter

25 estimation, a large number of genes appears to at least partially compensate for the destabilizing effect of a small number of repeats.

To further study the effect of sample size on significance testing in the YPR versus YPRG study, β , μ

30 and λ were determined using just two of the available four samples per gene by drawing one spot per gene over

the two replicate hybridizations. In this case, the number of genes selected as differentially-expressed was less, 227 as compared to 555 using $\lambda_1 > 25.7$, although 85 percent of these genes were previously identified as significant when using four samples per gene. The genes *GAL1*, *GAL7*, and *GAL10* also were identified as significant, but were no longer among the top ten with largest λ . While these genes still had a very extreme expression ratio (μ_y/μ_x) their intensity samples were by chance more variable than those of other genes with extreme expression ratios and thus their corresponding value of λ was smaller.

Ratios of Intensity are Approximately Equal to Ratios of Hybridized cDNA

Although the proposed method identifies genes having different mean intensities μ_{x_i} and μ_{y_i} , in order to conclude that these genes are differentially-expressed, intensity differences or ratios must be at least approximately proportional to differences in RNA copy number per cell. Since it is expected that either low or high copy number could lead to saturation in the measured intensity, a series of controlled experiments was performed to determine whether this relationship is linear over a reasonable range of copy number.

First, a mixture of *gal80Δ* cDNA was created by extracting mRNA from yeast with a complete deletion of the *GAL80* gene, which was labelled with Cy3 and Cy5 dyes in separate reactions, and subsequently combining the reactions into one tube. The mixture was hybridized to a yeast genome microarray, and the resulting image checked

to ensure that intensity was not detectable above background for spots representing *GAL80* and that all spots had roughly equal Cy3 and Cy5 intensities. Next, Cy3- and Cy5-labeled DNA sequences corresponding to the *GAL80* open reading frame were added to the *gal80Δ* cDNA mixture at fixed molar ratios of Cy3: Cy5 dye.

As shown in Figures 3A and 3B, array hybridizations were performed for each of eight controlled *GAL80* ratios. Data sets consisting of four (x,y) intensity measurements per gene were obtained at each controlled *GAL80* ratio by using two spots from a forward Cy3: Cy5 labeling scheme and two spots from a reverse Cy5: Cy3 labeling scheme. Parameters β and μ were determined separately for each data set, and the corresponding measured ratio for *GAL80* was defined as μ_y/μ_x .

Figure 3C shows a scatter plot of each measured ratio versus controlled ratio for the forward-array (red dots) or reverse-array (green dots) and demonstrates that, while saturation occurs at the lower extreme, the system is approximately linear over a range of 3 orders of magnitude. The ratio of estimated means μ_y/μ_x also is shown and denoted by open circles. The inset table shows the value of λ for the *GAL80* gene in each of the eight controlled ratios. The ratio of estimated means μ_y/μ_x is denoted by open circles. The inset table shows the value of λ for the *GAL80* gene in each of the eight controlled ratios. Except where the controlled ratio was equal to one, all measured *GAL80* ratios had $\lambda > 25.7$ and thus were differentially-expressed by the likelihood test.

At the upper end of the investigated range, *GAL80* was added at 1000 fmol and measured at 32,436 intensity units as averaged over four samples. Only 14 genes on the array had higher intensities, the two largest being TDH3 (81255 units) and ENO2 (55766 units). At the lower end of the range, *GAL80* was added at 0.2 fmol and measured at 284 units: approximately 1000 genes had lower intensities. These genes are either not expressed or are beneath the range of detection.

The intensities of several genes whose RNA copy number per cell has been determined experimentally also were determined (Iyer and Struhl, Proc. Natl. Acad. Sci. USA 93:5208-5212 (1996)). The RNA corresponding to the TRP3 gene has been observed at 1.9 copies per cell in YPR media, and had a corresponding average intensity of 597 (standard deviation of 259) in the YPR condition of the YPR versus YPRG array experiment. In contrast, *GAL1* mRNA is present at less than <0.1 copies per cell in YPR and was not significantly above background intensity on our yeast array. Thus, most yeast genes, approximately 4000 to 5000, appear to have intensities within the linear range of the microarray system and the lower limit of detection is between 0.1 and 1.9 copies/cell.

Application of the Likelihood Model to Compare and Contrast Parameters over Different Types of Repeat Measurements

A test microarray having 96 genes spotted 16 times each was constructed to use the error model to compare the combined variability present across an entire experiment to that introduced during array hybridization

and quantitation alone. Ten cultures were grown involving identical strains and YPRG conditions, independently in separate containers, and RNA prepared from each of the ten cultures. Five of the preparations
 5 were labeled using Cy3, while the remaining five were labeled using Cy5. The mixtures were combined in Cy3-Cy5 pairs, and each of the five pairs hybridized to separate test arrays. Two types of data sets were drawn from these experiments. In the first type of data set,
 10 repeats were drawn from the 16 replicate spots per gene on a single array (*within-slide* data, $N=96$, $M=16$).

Parameters were estimated by maximum likelihood, independently for data sets formed using each of the five test arrays. Mean and standard deviation
 15 values over the estimates are shown in Row 1 of Table 2. In the second type of data set, repeats were drawn from a single spot of each gene on the array over the five hybridizations to separate test arrays (*between-slide* data, $N=96$, $M=5$). In this case, parameters β were
 20 estimated 16 times, separately for data sets formed using each of the 16 spots per gene available on the array (see Table 2, Row 2). Although the multiplicative errors ε_x and ε_y have nearly identical standard deviations for the within- and between-slide repeats, they are considerably
 25 more correlated within a slide than between slides. In addition, the within-slide measurements have less variability with regard to the additive error components δ_x and δ_y .

TABLE 2. Comparison of Error Model Parameters for Five Within-Slide and 16 Between-Slide Data Sets.

	Source of Variation	$\sigma_{\epsilon x}$	$\sigma_{\epsilon y}$	ρ_{ϵ}	$\sigma_{\delta x}$	$\sigma_{\delta y}$
5	within slide mean standard error	0.35 (.063)	0.306 (.061)	0.981 (.0069)	251 (49)	374 (105)
	between slides mean standard error	0.365 (.0084)	0.315 (.0073)	0.967 (.0017)	422 (12)	569 (13)
10						

For these optimizations, the parameter ρ_{δ} did not always converge: it was therefore set to zero during parameter estimation and does not appear in Table 2. In comparison with other data sets, the prenormalized x' and y' intensities of all 96 genes in the test data were moderate to relatively high. Therefore, ρ_{δ} was likely ill-determined because under the error model, ρ_{δ} is dominated by ρ_{ϵ} for larger intensities.

Although the invention has been described with reference to the disclosed embodiments, those skilled in the art will readily appreciate that the specific experiments detailed are only illustrative of the invention. It should be understood that various modifications can be made without departing from that spirit of the invention. Accordingly, the invention is limited only by the following claims.